

FORM PTO-1390US DEPARTMENT OF COMMERCE
REV. 5-93PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER
09792908-222

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
10/530,66 **10/530633**

INTERNATIONAL APPLICATION NO.
PCT/JP2003/012905

INTERNATIONAL FILING DATE
October 8, 2003

PRIORITY DATE CLAIMED
October 8, 2002

TITLE OF INVENTION "EXPOSING MASK AND PRODUCTION METHOD THEREFOR AND EXPOSING METHOD"

APPLICANT(S) FOR DO/EO/US Ken Ozawa

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
 4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date and the U.S. has been elected.
 5. ☐ A copy of International Application (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 34.
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)) (attached at back of English translation of application)
 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)) - Forms 338 and 409.
- Items 11. to 16. below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
 13. ☐ A **FIRST** preliminary amendment.
 14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 15. ☐ A substitute specification.
 16. ☐ A change of power of attorney and/or address letter.
 17. ☒ Other items or information:
 - a. ☐ Submission of Drawings (26 sheets of drawings - Figs. 1-30)
 - b. ☐ EXPRESS MAIL
 - c. ☒ Forms 338 and 409
 - f. ☐ Form 2038

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)
10/530633

INTERNATIONAL APPLICATION NO.
PCT/JP2003/012905

ATTORNEY'S DOCKET NUMBER
2909-6222

17: ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):

Neither international preliminary examination fee (37 CFR §1.482)
Nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO
And International Search Report not prepared by the EPO or JPO \$1080.00

International preliminary examination fee (37 C.F.R. §1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$ 920.00

International Preliminary examination fee (37 CFR §1482) not paid to USPTO
But international search fee (37 CFR §1.445(a)(2)) paid to USPTO \$ 770.00

International preliminary examination fee (37 C.F.R. §1.482) paid to USPTO
But all claims did not satisfy provisions of PCT Article 33(1)(4) \$ 730.00

International preliminary examination fee (37 CFR §1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)(4) \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 C.F.R. 1.492(e)).

Claims	Number Filed	Number Extra	Rate		
Total Claims		1	X \$ 18.00	\$	
Independent Claims			X \$ 86.00	\$	
Multiple Dependent Claims			\$280.00 +	\$	

TOTAL OF ABOVE CALCULATIONS =

☐ Applicant claims small entity status. See 37 CFR §1.27. The fees indicated above are reduced
by 1/2.

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

Amount to be
refunded \$

charged \$

- a. ☐ A check in the amount of \$___ to cover the above fees is enclosed.
b. ☐ Please charge attorney's firm American Express Account No. 378571697402000 in the amount of \$___ to
cover the above fees. PTO Form 2038 is enclosed for that purpose.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be
filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

David R. Metzger

NAME

32,919

Registration Number

July 15, 2005

Date: